

3737



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Craig Gardner et al.

Serial No.: 09/832,608

Examiner: Unknown

Filed: April 11, 2001

Group Art Unit: 3737

For: OPTICALLY SIMILAR REFERENCE SAMPLES AND RELATED METHODS FOR  
MULTIVARIATE CALIBRATION MODELS USED IN OPTICAL SPECTROSCOPY

Docket No.: 1023.1117101

**TRANSMITTAL SHEET**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 10th day of October, 2001.

By

*David M. Crompton*  
David M. Crompton

We are transmitting herewith the attached:

☐ Amendment

☐ No additional fee required

☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPENDENT CLAIMS	-	=		X40=	\$	X80=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+270=	\$
TOTAL				\$		\$	

- [ ] A check in the amount of \$\_\_\_\_\_ is enclosed.
- [ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
- [ XX ] Other: INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449 AND CITED REFERENCES.
- [XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: \_\_\_\_\_

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*L. Park*  
*8-2-03*  
*#5/IDS*

In re Application of:

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INFORMATION DISCLOSURE STATEMENT

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By

*David M. Crompton*  
David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Craig Gardner et al.

By their attorney,

Dated: 10/10/01

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FORM PTO-1449

OCT 15 2001

Atty. Docket No.:  
1023.1117101Serial No.:  
09/832,608

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

Applicant: Craig Gardner et al.

Filing Date

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April 11, 2001

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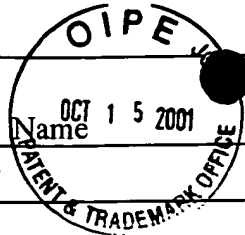
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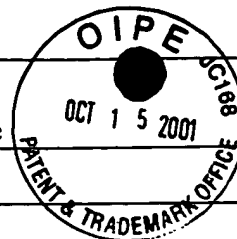
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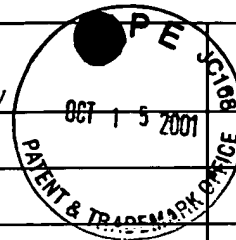


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